

Financial Planning Questionnaire

Personal Information

Today's date:			
Client initials:			
Name on cover page:			
Contact Information			
Individual 1		<u>Individual 2</u>	
Full name:		Full name:	
Date of Birth:		Date of Birth:	
Age:		Age:	
Retirement Age:		Retirement Age:	
Address & Employment Inf	Cormation (optional)		
<u>Individual 1</u>		<u>Individual 2</u>	
Email:		Email:	
Phone:		Phone:	
Address:		Address:	
City:		City:	
State:	Zip:	State:	Zip:
Employment			
<u>Individual 1</u>		Individ	lual 2
Employer:		Employer:	
Job Title:		Job Title:	
Phone:		Phone:	
Email:		Email:	
Address:		Address:	
City:		City:	
State:	Zip:	State:	Zip:
Employment Period:		Employment Period:	
Risk			

Risk Profile Investment Attitude:	Very Conservative	Somewhat Conservativ	e Moderate	
Investment Experience:		hat Aggressive Aggr y Little Moderate	ressive Significant	Extensive

Estate



Check the box if you have any of the following:

Will
Irrevocable Life Insurance Trust
Credit Shelter Trust Provisions
Generation Skip Trust Provisions
Revocable Living Trust
Joint Revocable Trust
Qtip Trust Provisions
Marital Trust Provisions
Testamentary Trust
Durable General Power of Attorney
Health Care Power of Attorney
Living Will

Insurance

Insurance Information You may need to review your insurance policies in order to get this information.

Individual 1 Individual 2

Permanent life insurance:

Term life insurance:

Cash values (less loans):

Long-term care insurance:

Pension, Earned Income & Social Security

Defined Pension Information Include information on pensions that provide an annual income level (i.e.: military pension, state pension, etc.) Individual 1 Individual 2 Pension 1 Pension 1 Pension 2 Pension 2 Anticipated annual amount: Starting age: % Increase rate before retirement: % % % % % % % Increase rate after retirement: Survivor benefit (%): % % Individual 1 Individual 2 **Earned Income** Earned income now: % % Annual increase rate: **Social Security** Age to start benefit: % Annual increase rate: Estimated or current annual benefit:



Expenses

Estimate annual figures for expenses related to shelter, food, clothing, transportation, insurance, loans, etc.

On not include taxes.		
Annual Living Expenses (today's dollars)	Annual inflation rates for living expe	nses
Now:	Before Retirement:	%
Current Surviving Household:	Surviving Household:	%
During Retirement:	During Retirement:	%
Single Retiree Survivor:	Single Retiree Survivor:	%

Special Income/Expenses

Description	Annual amount	Increase rate	Starting year	# of years	Priority?
		%			
		%			
		%			
		%			
		%			
		%			
		%			
		%			

Education Funding

Children's Educa Child's Name	Age	Fund Expense Age to Start College	Cost per Year*	# of Years	Current College Fund	529?	Planned Annual Additions
Inflation rate to use for college planner: %				e/Type of Coll ndance is unki		blic or Private] if	
Rate of return on	college fu	ınds:	%				
*In today 's dollar	rs, if know	vn					

Adam Smit Investment Management LLC - 963 Pine Street, Stanley WI 54768 - 715-644-3434 Securities and Advisory Services offered through LPL Financial, a registered Investment Advisor.

Member FINRA / SIPC



Assets

List cand of	apital assets incl ther financial ass	uding banking accou ets.	nts, investme	nt accounts, stocks, bonds, mutual fun	ds, business interests
No.	Asset name	Current value	Annual Additions	Account description (i.e. stock, 401k, bank account, etc)	Owner
1					
2					
3					
4					
5					
6					
7					
8					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					

Additional Assets



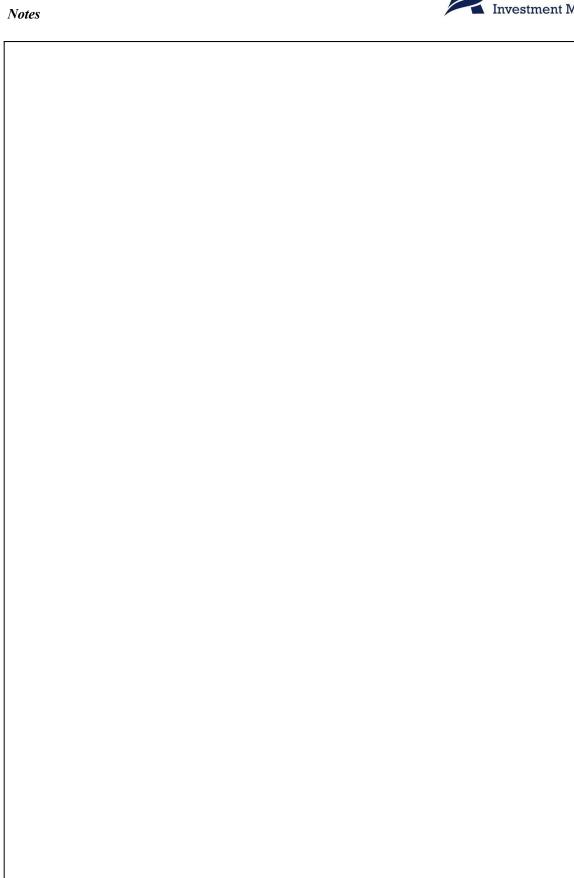
Other Asset	Value	Owner
Residence Value:		
Personal property:		
Autos:		
Boats, RVs, etc:		
Other Assets:		

Additional Debts

Other Debts/Liabilities Balance	Owner	Payment Yrs Remaining	Current Mo. Payment	Interest Rate	Minimum Payment
Residence mortgage:				%	
Credit card balances:				%	
Autos loans:				%	
Boats, RVs, etc. loans:				%	
Other Loans:				%	

Rates

Rate of Return Estima	ate annual rates of retu	urn for investment asse	ets.	
	Taxable Asset	Tax Free Assets	Tax Deferred Assets	Annuity Assets
Before Retirement:	%	%	%	%
During Retirement:	%	%	%	%
Cost Basis:	%	%	%	%
Taxes				
Estimate present and po	st-retirement effective	income tax rates (tota	l taxes paid divided by tota	al income).
Effective income tax ra	te before retirement:	%		
Effective income tax ra	te after retirement:	%		
Increase Rates of Capit	al Additions per Yea	r		
many cases, the amount y	you are adding now wincrease, enter the incr	ill increase in the futur	ses the amounts available are as your income increases in. If the additions will be l	s. If the expected
Savings & investment a	accounts:	%		
Retirement accounts for	r client:	%		
Retirement accounts for	snouse.	%		





Risk Assessment Questionnaire

Your Name:

- 1. I am more concerned about protecting my assets than about growth.
- 2. I prefer the ease of mutual funds to the uncertainty of trying to pick winning stocks.
- 3. Professional advisors and mutual funds may achieve higher growth than I can.
- 4. I am comfortable with investments that promise slow, long-term appreciation and growth.
- 5. I don't brood over bad investment decisions I have made.
- 6. I feel comfortable with aggressive growth investments.
- 7. I do not like surprises.
- 8. I am optimistic about my financial future.
- 9. My immediate concern is for income rather than growth opportunities.
- 10. I am a risk taker.
- 11. I make investment decisions comfortably and quickly.
- 12. I like predictability and routine in my daily life.
- 13. I usually pick the tried and true, the slow, safe but sure investments.
- 14. I need to focus my investment efforts on reserve funds and insurance rather than growth.
- 15. I prefer predictable, steady returns on my investments, even if the return is low.



Budget Calculation Worksheet

Expense Worksheet		Estimated Monthly Expenses				
Item:	Now:	Retirement:	Survivor Now:	Survivor Retirement:		
Rent or lease payment						
Food and household incidentals						
Utilities, telephone						
Auto operating and maintenance						
Clothing and personal items						
Property improvement & upkeep						
Domestic help, babysitting						
Property taxes						
Entertainment & vacations						
Charitable contributions						
Childcare						
Alimony, child support						
Books, papers, subscriptions						
Home furnishings						
Gifts, birthdays						
Medical expenses						
Other expenses						
Mortgage payment						
Auto loan payment						
Boat & RV payments						
Credit card payments						
Other loan payments						
Life insurance premiums						
Medical insurance premiums						
Auto insurance premiums						
House insurance premiums						
Other insurance premiums						
1						